



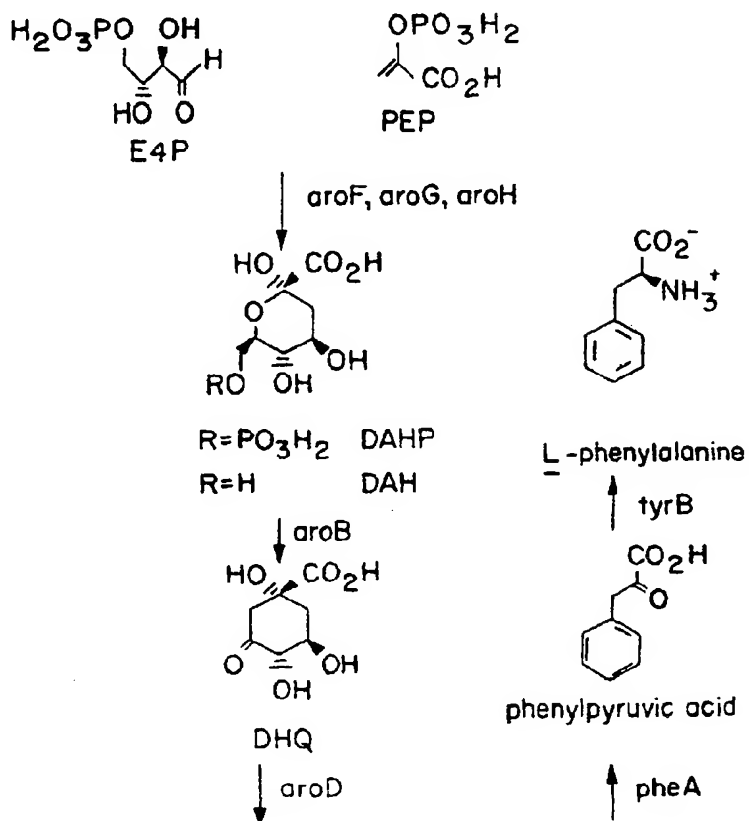
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(54) Title: DEBLOCKING THE COMMON PATHWAY OF AROMATIC AMINO ACID SYNTHESIS

(57) Abstract

Enhanced efficiency of production of aromatic compounds via the common pathway, as shown in the figure, of a host cell is realized by increasing the expression of enzyme species acting on substrate intermediates in identified rate-limiting reaction steps in the pathway. Host cells are transformed with recombinant DNA encoding for the rate-limiting enzymes to provide cell transformants characterized by capacity to produce high concentrations of aromatic metabolites when grown in cell culture with a metabolizable carbon source.



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-1-

**DEBLOCKING THE COMMON PATHWAY
OF AROMATIC AMINO ACID SYNTHESIS**

Field of the Invention

5 This invention relates to the enhancement of the efficiency of biosynthetic reactions. More particularly this invention is directed to a method for enhancing the biosynthesis of aromatic compounds in the common pathway in a host cell by identifying rate-limiting reaction steps in
10 that pathway and genetically engineering the host cell to effectively deblock those rate-limiting steps.

Background and Summary of the Invention

 The common pathway of aromatic amino acid
15 biosynthesis, otherwise known as the shikimate pathway, produces the aromatic amino acids, phenylalanine, tyrosine, and tryptophan in bacteria and plants. The route to the aromatic amino acids consists of a common pathway that ends in the branch point molecule chorismate which is
20 subsequently converted to phenylalanine, tyrosine and tryptophan by three separate terminal pathways. The aromatic amino acids are essential supplements to the diets of humans and animals who lack the ability to synthesize the compounds. They are also precursors for many
25 interesting and commercially important molecules such as aspartame, a synthetic sweetener, indigo, a common dye, and L-DOPA, a drug used to combat the effects of Parkinson's disease, to name a few.

 The success of any biocatalytic route to
30 overproduce the aromatic amino acids or their derivatives from a readily available carbon source such as glucose or other sugars depends on the ability to direct a surge of carbon through the pathway of the host organism. Metabolic blocks encountered in the pathway can effect the subsequent

-2-

yield and purity of products produced by the biocatalytic conversion.

Earlier approaches for increasing efficiency of production of the common pathway of aromatic biosynthesis have been described in U.S. Patent No. 5,186,056, issuing December 1, 1992, on U.S. Application Serial No. 07/652,933, filed February 8, 1991, the disclosure of which is expressly incorporated herein by reference. That patent describes a related invention directed to increasing the carbon flow into the pathway by increasing the in vivo catalytic activity of DAHP synthase and transketolase. While the aforementioned patent specification indicates that other enzymes that catalyze steps in the common pathway can be overexpressed in combination with the principally targeted transketolase and DAHP synthase, it has been found that increased carbon flow directed into the common pathway is lost if there are one or more pathway enzymes that are not able to catalyze conversion of intermediate substrates to products at rates comparable to the rate at which those substrate intermediates are produced. Thus, there are certain rate-limiting steps in the biosynthetic pathway that work to impede the progress of the reaction steps through the pathway. The present invention removes those impediments.

The analysis of culture supernatants of the *Escherichia coli* strain D2704 (*pheA*-, *tyrA*-, Δ *trpE-C*) using nuclear magnetic resonance spectroscopy (NMR) has identified 3-dehydroquinate synthase, shikimate kinase, 5-enolpyruvoylshikimate-3-phosphate synthase, and chorismate synthase as rate-limiting enzymes in the common pathway of aromatic amino acid biosynthesis. Insertion of a plasmid containing the genetic fragments coding for *aroL* (shikimate kinase), *aroA* (EPSP synthase), and *aroC* (chorismate synthase) along with the plasmid pKD136 (a plasmid that has been shown to commit an increased amount

-3-

of carbon to the common pathway of aromatic amino acid biosynthesis) into the *Escherichia coli* strain D2704 resulted in the removal of the majority of the substrates of the rate-limiting enzymes from the culture broth as well
5 as a significant increase in end product production.

Brief Description of the Drawings

Fig. 1 illustrates the common pathway of aromatic amino acid biosynthesis.

10 Fig. 2 presents plasmid maps of pKD130A and pKD136.

Figs. 3A and 3B are bar graphs depicting the concentration of common pathway intermediates of D2704 strains of *E. coli* and the average phenylalanine and
15 phenyllactic acid concentrations for those strains.

Fig. 4 illustrates the construction of plasmid pKD28 from plasmids pIA321 and pSU18.

Fig. 5 is similar to Fig. 4 showing construction of plasmid pKAD31.

20 Figs. 6A, 6B, and 6C illustrate the preparation of *aroEarOL* plasmid pKAD34.

Figs. 7-13 are similar to Figs. 4-7 and show the construction of plasmids pKAD38, pKAD43, pKAD39, pKAD50, pKAD44, pKAD51, and pKAD42, respectively.

25 Fig. 14 is a graph illustrating the total accumulation of phenylalanine, phenyllactic acid and prephenic acid in culture medium of *E. coli* transformants of this invention.

30 Detailed Description of the Invention

In accordance with this invention there is provided a method for enhancing the biosynthesis of aromatic compounds in a host cell via the common pathway. In that pathway a metabolizable carbon source is converted
35 to intermediate aromatic compounds in a multiple step

-4-

reaction sequence characterized by enzyme species acting on intermediate substrates. One embodiment of the present method comprises developing a method to identify rate-limiting steps in the pathway, the method comprising the

5 steps of analyzing supernatants of a culture of a host cell to identify accumulated common pathway intermediates - the substrates for the enzyme-mediated rate-limiting steps in the pathway. Having identified the rate-limiting steps, the host cell is transformed with recombinant DNA

10 comprising DNA encoding for enzyme species acting on the identified accumulated intermediate substrates in the rate-limiting reaction steps of the pathway to increase expression of the enzyme species in the host cell. Additionally, enhanced expression of the enzyme species

15 involved in the rate-limiting steps can also be achieved by genetically engineering the host cell to overexpress endogenous genes for such enzyme species, either by modification of endogenous control sequences or by affecting derepression of existing expression control

20 sequences utilizing art accepted methods.

Regardless of the exact mechanism utilized for enhancing expression of the rate-limiting enzyme species, it is contemplated that such will typically be effected or mediated by the transfer of recombinant genetic elements

25 into the host cell. Genetic elements as herein defined include nucleic acids (generally DNA or RNA) having expressible coding sequences for products such as proteins, specifically enzymes, apoproteins or antisense RNA, which express or regulate expression of rate-limiting enzymes in

30 the common pathway. The expressed proteins can function as enzymes, repress or derepress enzyme activity, or control expression of enzymes. Recombinant DNA encoding these expressible sequences can be either chromosomal (integrated into the host cell chromosome by, for example, homologous

35 recombination) or extrachromosomal (for example, carried by

-5-

plasmids, cosmids, and other vectors capable of effecting the targeted transformation). It is understood that the recombinant DNA utilized for transforming the host cell in accordance with this invention can include, in addition to structural genes, expression control sequences including promoters, repressors, and enhancers that act to control expression or derepression of coding sequences for proteins, apoproteins or antisense RNA. For example, such control sequences can be inserted into wild type host cells to promote overexpression of selected enzymes already encoded in the host cell genome, or alternatively, they can be used to control synthesis of extrachromosomally encoded enzymes.

The recombinant DNA can be introduced into the host cell by plasmids, cosmids, phages, yeast artificial chromosomes or other vectors that mediate transfer of genetic elements into a host cell. These vectors can include an origin of replication along with cis-acting control elements that control replication of the vector and the genetic elements carried by the vector. Selectable markers can be present on the vector to aid in the identification of host cells into which genetic elements have been introduced. Exemplary of such selectable markers are genes that confer resistance to particular antibiotics such as tetracycline, ampicillin, chloramphenicol, kanamycin, or neomycin.

A preferred means for introducing genetic elements into a host cell utilizes an extrachromosomal multi-copy plasmid vector into which genetic elements in accordance with the present invention have been inserted. Plasmid borne introduction of the genetic element into host cells involves an initial cleaving of a plasmid vector with a restriction enzyme, followed by ligation of the plasmid and genetic elements encoding for the targeted enzyme species in accordance with the invention. Upon

-6-

recircularization of the ligated recombinant plasmid, infection (e.g., packaging in phage lambda) or other mechanism for plasmid transfer (eg. electroporation, microinjection, etc.) is utilized to transfer the plasmid into the host cell. Plasmids suitable for insertion of genetic elements into the host cell include but are not limited to pBR322 and its derivatives such as pAT153, pXf3, pBR325, and pBR327, pUC vectors, pACYC and its derivatives, pSC101 and its derivatives, and ColE1.

Suitable host cells for use in the present invention are members of those genera capable of being utilized for industrial biosynthetic production of desired aromatic compounds. Accordingly, host cells include prokaryotes belonging to the genera Escherichia, Corynebacterium, Brevibacterium, Arthrobacter, Bacillus, Pseudomonas, Streptomyces, Staphylococcus, or Serratia. Eukaryotic host cells can also be utilized, with yeasts of the genus Saccharomyces or Schizosaccharomyces being preferred.

More specifically, prokaryotic host cells are derived from, but not limited to, species that include Escherichia coli, Corynebacterium glutamicum, Corynebacterium herculis, Brevibacterium divaricatum, Brevibacterium lactofermentum, Brevibacterium flavum, Bacillus brevis, Bacillus cereus, Bacillus circulans, Bacillus coagulans, Bacillus licheniformis, Bacillus megaterium, Bacillus mesentericus, Bacillus pumilis, Bacillus subtilis, Pseudomonas aeruginosa, Pseudomonas angulata, Pseudomonas fluorescens, Pseudomonas tabaci, Streptomyces aureofaciens, Streptomyces avermitilis, Streptomyces coelicolor, Streptomyces griseus, Streptomyces kasugensis, Streptomyces lavendulae, Streptomyces lipmanii, Streptomyces lividans, Staphylococcus epidermis, Staphylococcus saprophyticus, or Serratia marcescens.

-7-

Preferred eukaryotic host cells include Saccharomyces cerevisiae or Saccharomyces carlsbergensis.

For industrial production of primary metabolites derived from chorismate (such as aromatic amino acids),
5 deregulated mutant strains of the above recited species that lack feedback inhibition of one or more enzymes in the metabolic biosynthetic pathway are preferred. Such strains can be created by random or directed mutagenesis, or are commercially available. Examples of E. coli strains having
10 DAHP synthase, prephenate dehydratase, or chorismate mutase feedback inhibition removed are described in U.S. Patent 4,681,852 to Tribe and U.S. Patent 4,753,883 to Backman et al., the disclosures of which are incorporated herein by reference.

15 In preferred embodiments of the present invention, the enhanced expression of the rate-limiting enzyme species in the host cell is achieved by transformation of the host cell with a plasmid vector comprising DNA encoding for the enzyme species 3-
20 dehydroquinate synthase, shikimate kinase, and 5-enolpyruvoylshikimate-3-phosphate synthase (EPSP synthase). More preferably, the transforming vector further comprises DNA encoding for chorismate synthase. In the most preferred embodiment of the method of the present invention
25 an *E. coli* strain is transformed with recombinant DNA comprising DNA encoding for the enzymes transketolase (tkt), 3-deoxy-D-arabino-heptulosonate-7-phosphate synthase (DAHP synthase), 3-dehydroquinate synthase (DHQ synthase), shikimate kinase, 5-enolpyruvoylshikimate-3-phosphate
30 synthase, and chorismate synthase to increase expression of those enzymes in the host cell.

Typically the recombinant DNA is introduced into the host cell as part of one or more recombinant plasmid vectors comprising the DNA encoding for the enzyme species.

-8-

Other embodiments of the present invention include cell transformants prepared in accordance with the method of this invention and a method utilizing such cell transformants to produce an aromatic compound

5 biocatalytically from a carbon source. The method comprises the step of culturing a cell transformant of this invention capable of utilizing a carbon source in its common pathway in the presence of such a carbon source under conditions conducive to the use of the carbon source

10 in the pathway. Other embodiments of the present invention include plasmid constructs comprising structural genes for two or more of the rate-limiting enzymes of the common aromatic biosynthetic pathway. For example, one preferred construction comprises structural genes for shikimate

15 kinase and EPSP synthase, more preferably including as well the structural gene for chorismate synthase. A microorganism transformed with such plasmid constructs is still another contemplated embodiment of the present invention.

20 As mentioned above, there has been earlier efforts to enhance the biosynthetic production of compounds derived from the common pathway in a host cell by increasing the expression of proteins catalyzing reactions in that pathway. The present invention provides for

25 significant improvement in the efficiency of production of aromatic compounds in host cells via the common pathway. While earlier reports have taught that carbon flow can be increased into the upper end (the initial reaction sequences) of the pathway by enhancing the concentrations

30 of transketolase alone or in combination with other enzymes in the common pathway, for example, DAHP synthase, DHQ synthase and even shikimate kinase, there was no suggestion by that earlier work that rate-limiting enzyme species could be identified and that such identification could be

35 used as a guide to transform the host cell for

-9-

overexpression of the rate-limiting enzyme species to provide a significant increase in carbon flow through the aromatic pathway (as evidenced by the concentration of "in process" aromatic metabolites in the culture medium of transformant host cells). Thus the present invention can be viewed as well as an improvement on earlier efforts to increase the biosynthetic production of compounds derived from the common pathway, the improvement comprising the steps of (1) identifying the rate-limiting reaction steps in said pathway, and (2) increasing expression of those proteins catalyzing the identified rate-limiting steps in the pathway. Again, the increased expression is preferably achieved in accordance with this invention by transforming the host cell to express constitutively exogenous genes encoding for said protein catalyst (enzyme) to increase concentration of the proteins in the host cell. The improvement has been shown particularly where the host cell is a strain of *E. coli* transformed to express exogenous structural genes comprising the genes for shikimate kinase, EPSP synthase and chorismate synthase.

D2704, an *Escherichia coli* strain that is *pheA*-, *tyrA*- and Δ *trpE-C* should theoretically be able to produce chorismic acid because the terminal pathways leading to phenylalanine, tyrosine, and tryptophan are respectively blocked (Fig. 1). Using this strain, deblocking of the common pathway of aromatic amino acid biosynthesis in *E. coli* when an increased surge of carbon was committed to the pathway was planned with the increased accumulation of chorismate as an indicator of successful blocking. Growth of D2704 cells in rich media followed by resuspension in minimal salts accumulation media gave little or no accumulation of chorismate but yielded significant levels of phenylalanine. The production of phenylalanine can be explained by the non-enzymatic Claisen rearrangement of chorismic acid to prephenic acid followed by dehydration to

-10-

produce phenylpyruvic acid. Although the enzyme chorismate mutase accelerates the conversion of chorismate to prephenate by 2×10^6 at 37°C , the reaction can occur in the absence of the enzyme. Prephenic acid has been reported to
5 yield phenylpyruvate non-enzymatically under mildly acidic conditions such as those produced during normal culturing of cells. With the production of phenylpyruvic acid, the microbe should be able to synthesize phenylalanine using the intact amino transferase encoded by *tyrB*. However
10 significant amounts of phenyllactate were observed in some of the culture supernatants.

The aromatic amino transferase encoded by *tyrB* transaminates the aromatic keto acid using glutamate as the nitrogen donor and pyridoxal phosphate as a coenzyme.
15 [Mavrides, C. In *Methods in Enzymology*; Academic: San Diego, 1987, 142, pp. 253-267.] The production of phenyllactic acid could be due to insufficient supplies of glutamate in the cell to completely transaminate all of the phenylpyruvic acid. Reduction of phenylpyruvic acid to
20 phenyllactate might occur to regenerate a supply of NAD^+ within the cell. An analogous reduction of pyruvate to lactic acid catalyzed by the enzyme lactate dehydrogenase [Holbrook, J.J.; Liljas, A.; Steindel, S.S.; Rossmann, M.G. In *The Enzymes*; Boyer, P.D., Ed.; Academic Press: New York,
25 1975; Vol. 11, Chap. 4] is known to occur under anaerobic conditions to regenerate a supply of NAD^+ for the continued functioning of glycolysis.

The activity of the aromatic amino transferase could also be limited by the presence of the *pheA* mutation
30 in D2704. It has been shown that the bifunctional enzyme chorismate mutase-prephenate dehydratase encoded by *pheA* interacts with the aromatic amino transferase in the presence of phenylpyruvate to form a complex in *E. coli* [Powell, J.T.; Morrison, J.F.; *Biochem. Biophys. Acta*,
35 1979, 568, 467-474]. Since D2704 is *pheA*, it should be

-11-

unable to produce the chorismate mutase-prephenate dehydratase enzyme necessary for complex formation. Although the role of the enzyme-enzyme interaction has not been determined, the possibility exists that the inability to form the complex could affect aminotransferase activity resulting in the buildup of phenylpyruvic acid within the cell. Although the above theories are plausible, the reason for phenyllactate accumulation has yet to be determined experimentally. However it is safe to assume that phenyllactate accumulation represents deblocked glucose equivalents from the common pathway. Therefore the successful removal of metabolic blocks from the common pathway of aromatic amino acid biosynthesis was measured by the combined total accumulation of phenylalanine and phenyllactic acid in the following study. Accumulation of common pathway intermediates in the culture supernatant was used to identify enzymes that were rate-limiting steps in the flow of carbon down the common pathway using the notion that the accumulated intermediate was the substrate of a rate-limiting enzyme.

Five milliliter starter cultures of each strain were grown in LB media containing the appropriate drugs for ten hours. The starter cultures were used to inoculate one liter cultures of LB in four liter erlenmeyer flasks with isopropyl *B*-D-thiogalactopyranoside (IPTG) (0.2 mM), chloramphenicol (20 mg/L), and ampicillin (50 mg/L) added where needed. The one liter cultures were grown for 12 hours at 37°C with agitation (250 RPM). Cells were harvested (3,000 g; 5 minutes; 4°C) and washed three times with M9 salts [M9 salts contain (per liter): 6g Na₂HPO₄, 3g KH₂PO₄, 0.5g NaCl, 1g NH₄Cl] (300 mls wash for each sample). Cell pellets were resuspended in one liter of M9 accumulation media in a four liter erlenmeyer flask containing glucose (10 g), MgSO₄ (1 mM), and thiamine (30 mg) with the addition of chloramphenicol, ampicillin

-12-

and IPTG where needed. Cells were incubated for an additional 48 hours in the accumulation media at 37°C with agitation (250 RPM). Aliquots (25 ml) were removed at 24 and 48 hour intervals and centrifuged (6,000g; 5 min; 4°C).

5 Ten milliliters of isolated supernatant was collected from each sample and the water was removed in vacuo. Samples were exchanged two times with D₂O and analyzed by ¹H NMR. The sodium salt of 3-(trimethylsilyl) propionic-2,2,3,3-d₄ acid was used as the internal standard to quantify
10 intermediates and end products produced in the accumulation. All cultures were grown in triplicate so that mean values of accumulated molecules as well as their standard deviations could be obtained.

To create a surge of carbon through the common
15 pathway of aromatic amino acid biosynthesis, a plasmid containing transketolase, *tkt*, and the tyrosine sensitive isozyme of 3-deoxy-D-arabino-heptulosonate-7-phosphate synthase (DAHP synthase), *aroF*, was employed. Transketolase has been shown to increase the levels of
20 erythrose 4-phosphate available to the cell, for use in producing aromatic amino acids while DAHP synthase is the first irreversible step of the pathway. The *tkt*, *aroF* plasmid pKD130A (Fig. 2), a pBR325 derivative with the ampicillin resistance gene intact and a pMB1 origin of
25 replication, accumulated the common pathway intermediates 3-deoxy-D-arabino-heptulosonate-7-phosphate (DAHP), 3-dehydroshikimate (DHS), shikimate and shikimate-3-phosphate with a total phenylalanine and phenyllactate accumulation of 5.6 ± 0.7 mM upon
30 introduction into D2704 (Fig. 3). After incubation for 48 hours, ¹H NMR resonances for DAHP are found at δ 1.79 (dd, 13, 13 Hz, 1 H), δ 2.20 (dd, 13, 5 Hz, 1 H), δ 3.46 (dd, 9, 9 Hz, 1 H) and δ 3.83 (m, 2 H). The presence of shikimate in the culture media is shown by resonances at δ 4.41 (dd,
35 4, 4 Hz, 1 H) and δ 6.47 (m, 1 H). A resonance for

-13-

shikimate - phosphate lies at δ 6.47 (m, 1 H). Resonances for phenylalanine are found at δ 3.14 (dd, 14, 8 Hz, 1 H), δ 3.29 (dd, 14, 5 Hz, 1 H) and δ 7.30 - 7.49 (m, 5 H). Observable resonances for phenyllactic acid are found at δ 4.27 (dd, 8, 4 Hz, 1 H) and δ 7.30 - 7.49 (m, 5 H). DHS disappeared from the accumulation media between 24 and 48 hours.

The accumulation of DAHP, DHS, shikimate, and shikimate-3-phosphate in the culture supernatant lead to the assignment of 3-dehydroquinate synthase (DHQ synthase), shikimate dehydrogenase, shikimate kinase, and 5-enolpyruvylshikimate-3-phosphate synthase (EPSP synthase) respectively as rate-limiting enzymes. Although DHQ synthase and shikimate dehydrogenase had been previously identified to be rate-limiting steps in the common pathway, [Draths, K.M.; Frost, J.W.; *J. Am. Chem. Soc.* **1990**, 112, 9360-9632; Draths, K.M., Ph.D. Dissertation, Stanford University, June 1991] the identification of shikimate kinase and EPSP synthase as rate-limiting steps has not been reported in the literature.

To remove the accumulation of DAHP in the culture supernatant, a *tkt*, *aroF*, *aroB* plasmid pKD136 (Fig. 2) was introduced into D2704. Using pKD136, DAHP was successfully removed from the culture supernatant resulting in an increased accumulation of DHS, shikimate, and shikimate-3-phosphate but no increase in phenylalanine and phenyllactate (Fig. 3). Fig. 3A shows concentrations of common pathway intermediates accumulated in D2704 strains after 24 hours of growth in minimal media. Fig. 3B illustrates total accumulation of phenylalanine and phenyllactate after 24 and 48 hours of growth in minimal media. Strains studied include 1) D2704/pKD130A; 2) D2704/pKD136; 3) D2704/pK136/pKD28; 4) D2704/pKD136/pKAD34; 5) D2704/pKD136/pKAD31;

-14-

- 6) D2704/pKD136/pKAD38; 7) D2704/pKD136/pKAD43;
8) D2704/pKD136/pKAD39; 9) D2704/pKD136/pKAD51;
10) D2704/pKD136/pKAD44; 11) D2704/pKD136/pKAD50.

Thus even though a rate-determining step had been removed
5 from the pathway, no increased accumulation of end product
was observed.

The lack of convenient unique restriction sites
for the insertion of *aroE* into pKD136 resulted in the use
of a two plasmid system for the rest of the deblocking
10 experiments. The system consisted of pKD136 and the
pSU2718/pSU2719 [Martinez, E.; Bartolome, B.; de la Cruz,
F. *Gene*, **1988**, 68, 159-162] derived plasmids pSU18 and
pSU19, possessing chloramphenicol resistance, a *lac*
promoter, and a p15A origin of replication, into which the
15 remaining deblocking genes were inserted. A pSU18 based
aroE plasmid, pKD28, [Draths, K.M., Ph.D. Dissertation,
Stanford University, June 1991] was created by isolation of
a 1.6 kb fragment containing a *tac* promoter and the *aroE*
gene from pIA321 [Anton, I.A.; Coggins, J.R. *Biochem. J.*,
20 **1988**, 249, 319-326] followed by ligation into pSU18 as
shown in Fig. 4. D2704/pKD136/pKD28 while reducing the
level of DHS accumulation did not completely remove the
intermediate from the culture supernatant. Shikimate and
shikimate-3-phosphate were still present in the culture
25 broth. The total production of phenylalanine and
phenyllactate was reduced to 2.1 ± 0.9 mM after 48 hours of
growth (Fig. 3) implying that the increased carbon flow
from deblocking at *aroE* did not result in the additional
accumulation of end products.

30 To remove the rate-limiting characteristics of
shikimate kinase, both *aroL* and *aroEaroL* plasmids were
constructed. *aroL* is located in a transcriptional unit
with *aroM*, a gene whose function is unknown [DeFeyter,
R.C.; Pittard, J. J. *Bacteriol.*, **1986**, 165, 226-232]. A
35 2.7 kb fragment containing the transcriptional unit had

-15-

previously been isolated and cloned into pBR322 to form the plasmid pMU371 [DeFeyter, R.C.; Pittard, J. J. *Bacteriol.*, 1986, 165, 226-232]. A one kb fragment containing *aroL* was isolated from the plasmid pMU371 and inserted into the
5 vector pSU19 creating the 3.3 kb *aroL* plasmid pKAD31 (Fig. 5). The 4.9 kb *aroEaroL* plasmid pKAD34 was obtained by manipulation of the flanking restriction sites of the *aroE* gene from pKD28 followed by its isolation and ligation into the unique XbaI and BamHI sites of pKAD31 (Fig. 6).

10 The *aroEaroL* construct D2704/pKD136/pKAD34 was able to completely remove DHS and shikimate from the culture supernatant leaving the only accumulated common pathway intermediate to be shikimate-3-phosphate. The total production of phenylalanine and phenyllactate was 3.4
15 ± 0.2 mM, a slight increase from the end product production of D2704/pKD136/pKD28 but still significantly smaller than the phenylalanine and phenyllactate concentrations observed with both D2704/pKD130A and D2704/pKD136 (Fig. 3).

The *aroL* construct D2704/pKD136/pKAD31 was also
20 able to completely remove DHS and shikimate from the culture broth thereby relieving the rate-limiting characteristics of both shikimate dehydrogenase and shikimate kinase with only one overproduced gene. The rate-limiting character of shikimate dehydrogenase
25 therefore appears to be an artifact of shikimate accumulation. The importance of the removal of shikimate from the culture media on the rate-limiting characteristics of shikimate dehydrogenase suggests that shikimate may have some inhibitory effects on the enzyme. The accumulation of
30 shikimate-3-phosphate was still observed and the total production of phenylalanine and phenyllactate was found to be 5.6 ± 0.5 mM, the level of end product production initially observed with D2704/pKD130A and D2704/pKD136 (Fig. 3). Thus upon removing the metabolic blocks of DHQ
35 synthase, shikimate dehydrogenase, and shikimate kinase,

-16-

the total accumulation of pathway end products did not significantly increase leaving the deblocked glucose equivalents unaccounted for.

EPSP has been reported [Duncan, K.; Lewendon, A.; Coggins, J.R. *FEBS Lett.*, 1984, 165, 121-127] to be an inhibitor of the forward reaction of EPSP synthase suggesting a possible explanation for the observance of rate-limiting characteristics of the enzyme. To remove the shikimate-3-phosphate from the culture supernatant, both *aroA* and *aroAaroL* plasmids were constructed. The *aroA* gene exists on an operon with *serC* which encodes 3-phosphoserine aminotransferase, a serine biosynthetic pathway enzyme. The 4.7 kb fragment encoding the *serCaroA* operon has been isolated and sequenced [Duncan, K.; Coggins, J.R. *Biochem. J.*, 1986, 234, 49-57; Duncan, K.; Lewendon, A.; Coggins, J.R. *FEBS Lett.*, 1984, 170, 59-63]. To create the 4.7 kb *aroA* plasmid pKAD38, a 2.4 kb *aroA* fragment was isolated from the plasmid pKD501 [Duncan, K.; Coggins, J.R. *Biochem. J.*, 1986, 234, 49-57] and ligated into the vector pSU18 directly behind the external lac promoter (Fig. 7). Removal of *aroA* from the transcriptional unit of *serCaroA* necessitates its placement behind an external promoter for expression. A rho-independent transcription terminator that is located between the *serC* and *aroA* genes and is believed to naturally attenuate *aroA* expression remains intact on the 2.4 kb *aroA* fragment since a convenient restriction site for its removal was not available. Placement of the truncated *aroA* gene with the transcription terminator behind an external lac promoter should still provide some level of overexpression of EPSP synthase. The 5.7 kb *aroAaroL* plasmid, pKAD43 (Fig. 8), was created by isolation of the 2.4 kb *aroA* gene with flanking *Pst*I and blunt ended sites and ligation into a pKAD31 vector that had been manipulated to possess equivalent sites.

-17-

Evaluation of the strain D2704/pKD136/pKAD38 revealed a significant increase in total phenylalanine and phenyllactate production producing 7.9 ± 1.3 mM after 48 hours of accumulation (Fig. 3). Pathway intermediates accumulated in the supernatant were DHS, shikimate, and shikimate-3-phosphate. The strain D2704/pKD136/pKAD43 produced 9.7 ± 0.3 mM of phenylalanine and phenyllactate with the accumulation of only one common pathway intermediate, shikimate-3-phosphate. The *aroA* plasmids gave the first indication of successful conversion of deblocked glucose equivalents to end products. The inability of the *aroA* gene to completely remove shikimate-3-phosphate accumulation may result from the reversibility of the reaction catalyzed by EPSP synthase.

It has been suggested that chorismate synthase is both an irreversible and possibly rate-limiting enzyme [Pittard, A.J. In *Escherichia coli* and *Salmonella typhimurium*; Neidhardt, F.C., Ingraham, J.L., Low, K.B., Magasanik, B., Schaechter, M., Umberger, H.E., Eds.; American Society for Microbiology: Washington, DC 1987; Vol. 1, Chapter 24]. Rate-limiting characteristics of chorismate synthase might result in the continued presence of shikimate-3-phosphate if accumulations of EPSP are subsequently converted to shikimate-3-phosphate by EPSP synthase. In an attempt to completely remove shikimate-3-phosphate from the culture supernatant, an *aroAaroCaroL* plasmid was constructed. An *aroC* plasmid was first constructed by isolation of the *aroC* fragment flanked by *SalI* and blunt ended sites from pGM602 [White, P.J.; Millar, G.; Coggins, J.R.; *Biochem. J.*, 1988, 251, 313-322], a plasmid containing a 1.69 kb fragment encoding chorismate synthase. Ligation into the unique *SalI* and *SmaI* sites of pSU19 created the 4 kb plasmid pKAD39 (Fig. 9). To create the 7.4 kb *aroAaroCaroL* plasmid pKAD50, the 1.69 kb *aroC* fragment was isolated from pKAD39

-18-

as a *Sall*/blunt ended fragment and ligated into a pKAD43 vector that had been manipulated to contain equivalent ends (Fig. 10).

The strain D2704/pKD136/pKAD50 produced $12.3 \pm$
5 2.2 mM of phenylalanine and phenyllactate, a significant increase in end product production over D2704/pKD136/pKAD43 (Fig. 3). While D2704/pKD136/pKAD50 still accumulated some shikimate-3-phosphate, the total amount accumulated was less than D2704/pKD136/pKAD43. The NMR of the 48 hour
10 D2704/pKD136/pKAD50 accumulation indicates the presence of phenylalanine by resonances at δ 3.29 (dd, 14, 5 Hz, 1 H), δ 4.0 (dd, 8, 5 Hz, 1 H), and δ 7.25 - 7.49 (m, 5 H). Resonances for phenyllactic acid are found at δ 2.88 (dd, 14, 8 Hz, 1 H), δ 4.27 (dd, 8, 4 Hz, 1 H), and δ 7.25 -
15 7.49 (m, 5 H). A small amount of DHS is also present in the culture broth as indicated by the presence of a resonance at δ 6.4 (d, 3 Hz, 1 H). The observed increased end product production upon the addition of *aroC* to the deblocking plasmid has lead to the assignment of chorismate
20 synthase as a rate-limiting enzyme with the assumption that accumulation of EPSP might be converted to shikimate-3-phosphate.

To further understand the role of chorismate synthase, plasmids containing *aroC* (pKAD39; Fig. 9),
25 *aroAaroC*, and *aroCaroL* were constructed and evaluated in the strain D2704/pKD136. The 6.39 kb *aroCaroA* plasmid pKAD44 (Fig. 11) was created by the isolation of an *aroA* fragment with flanking *Pst*I and blunt ended sites followed by ligation into a pKAD39 vector that had been manipulated
30 to contain equivalent blunt-ended sites. The 5 kb *aroCaroL* plasmid pKAD51 (Fig. 12) was constructed by the isolation of *aroC* as a *Sall* blunt ended fragment which was ligated into a pKAD31 vector that had been manipulated to contain equivalent sites. As can be seen in Fig. 3, pKAD39,
35 pKAD44, and pKAD51 did not achieve the levels of end

-19-

product accumulation that the *aroAaroCaroL* plasmid pKAD50 achieved upon insertion into D2704/pKD136. Therefore the strain D2704/pKD136/pKAD50 was determined to be the optimum strain for maximal end product production.

5 To determine the role of transketolase in the optimal strain D2704/pKD136/pKAD50, the gene was removed from the plasmid pKD136 by digestion with BamHI followed by religation creating the *aroFtkt* plasmid pKAD42 (Fig. 13). Culturing of the strain D2704/pKAD42/pKAD50 resulted in the
10 accumulation of large amounts of acetate and lactate resulting in cell death. To alleviate this problem, the pH of the accumulation media was monitored during the 48 hour incubation and neutralized with 5N NaOH when needed. The maintenance of a neutral pH resulted in high accumulations
15 of prephenic acid at both 24 and 48 hour time points of D2704/pKAD42/pKAD50 possibly due to the molecule's decreased ability to rearrange to phenylpyruvate at neutral pH. Thus to compare the amount of carbon flow successfully delivered to the end of the common pathway between the
20 strains D2704/pKAD42/pKAD50 and D2704/pKD136/pKAD50, total amounts of phenylalanine, phenyllactic acid and prephenic acid were considered.

As shown in Fig. 14, the amount of end products produced by the strain D2704/pKD136/pKAD50 was
25 significantly larger than that produced by the strain D2704/pKAD42/pKAD50. This result shows that to successfully direct an increased surge of carbon to the aromatic amino acids and their derivatives, extra chromosomal copies of transketolase are required to
30 increase the levels of carbon available to the common pathway as well as the genes encoding DAHP synthase, DHQ synthase, shikimate kinase, EPSP synthase, and chorismate synthase to successfully direct the surge to the desired end products.

-20-

Analysis of cell supernatants by NMR spectroscopy has revealed DHQ synthase, shikimate kinase, EPSP synthase, and chorismate synthase as metabolic blocks in the common pathway of aromatic amino acid biosynthesis. The previous
5 identification of shikimate dehydrogenase as a metabolic block is thought to be an artifact of shikimate accumulation in the culture media. Both the yield and purity of the aromatic amino acids and their derivatives produced by biocatalytic processes can be increased by the
10 employment of a two plasmid system in *E. coli*. The plasmid pKD136 or a functional equivalent is essential to committing an increased flow of carbon to the common pathway of aromatic amino acid biosynthesis while the plasmid pKAD50 or its functional equivalent is essential to
15 successfully direct the surge of carbon to the end of the common pathway. The increased purity of the end products observed upon introduction of the deblocking genes *aroB*, *aroL*, *aroA* and *aroC* are readily discernible in the NMRs of D2704/pKD130A and D2704/pKD136/pKAD50.

-22-

dehydroquinate synthase (DHQ synthase), shikimate kinase, 5-enolpyruvoyl-shikimate-3-phosphate synthase and chorismate synthase to increase expression of said enzymes in said host cell.

5 7. The method of claim 1 where the host cell is transformed with one or more recombinant plasmid vectors comprising the DNA encoding for the enzyme species.

8. The method of claim 6 where the host cell is transformed with one or more recombinant plasmid vectors
10 comprising the DNA encoding for the enzyme species.

9. A cell transformant prepared in accordance with the method of claim 2.

10. An *E. coli* transformant prepared in accordance with the method of claim 7.

15 11. An *E. coli* transformant characterized by the enhanced expression of exogenous structural genes for the enzyme species transketolase (tkt), 3-deoxy-D-arabino-heptulosonate-7-phosphate synthase (DAHP synthase), 3-dehydroquinate synthase (DHQ synthase), shikimate kinase,
20 5-enolpyruvoyl-shikimate-3-phosphate synthase and chorismate synthase.

12. A method for producing an aromatic compound biocatalytically from a carbon source, said method comprising the step of culturing a cell transformant of
25 claim 12 capable of utilizing said carbon source in its common pathway in the presence of said carbon source under conditions conducive to the use of said carbon source in said pathway.

13. A plasmid construct comprising structural genes
30 for shikimate kinase and EPSP synthase.

14. The plasmid construct of claim 13 further comprising the structural gene for chorismate synthase.

15. Plasmid pKAD50.

16. *E. coli* strain D2704/pKD136/pKAD50.

-21-

Claims:

1. A method for enhancing the biosynthesis of aromatic compounds in a host cell via the common pathway of aromatic amino acid biosynthesis endogenous to said cell,
5 wherein a carbon source is converted to aromatic compounds by a multiple step reaction sequence characterized by enzyme species acting on intermediate substrates, said method comprising the steps of analyzing supernatants of a culture of said host cell to identify accumulated common
10 pathway intermediate substrates thereby identifying the rate-limiting reaction steps in the pathway, and
introducing into said host cell recombinant DNA comprising DNA encoding for the enzyme species acting on the identified accumulated intermediate substrates in the
15 rate-limiting reaction steps in the pathway to increase expression of the enzyme species in the host cell.
2. The method of claim 1 wherein the host cell is a strain of *Escherichia coli*.
3. The method of claim 2 wherein the identified
20 common pathway intermediates are 3-deoxy-D-arabino-heptulosonate-7-phosphate (DAHP), 3-dehydroshikimate, shikimate and shikimate-3-phosphate.
4. The method of claim 3 wherein the host cell is transformed with recombinant DNA comprising DNA encoding
25 for the enzyme species 3-dehydroquinate synthase, shikimate kinase and 5-enolpyruvoylshikimate-3-phosphate synthase (EPSP synthase).
5. The method of claim 4 wherein the host cell is transformed with recombinant DNA further comprising DNA
30 encoding for chorismate synthase to increase expression of chorismate synthase in the host cell.
6. The method of claim 3 wherein the host cell is transformed with recombinant DNA comprising DNA encoding for the enzymes transketolase (tkt), 3-deoxy-D-arabino-
35 heptulosonate-7-phosphate synthase (DAHP synthase), 3-

-23-

17. In a method for enhancing the biosynthetic production of compounds derived from the common pathway of aromatic amino acid biosynthesis endogenous to a host cell by increasing the expression of proteins catalyzing reactions in said pathway, the improvement comprising the steps of

identifying the rate-limiting reaction steps in said pathway by identifying accumulated common pathway intermediates in supernatants of a culture of said host cell, and

increasing the expression of those proteins catalyzing the identified rate-limiting steps in said pathway.

18. The improvement of claim 17 wherein expression of the protein catalysts in the rate-limiting reactions is increased by transforming the host cell to express exogenous genes encoding for said protein catalyst constitutively to increase concentration of said proteins in the host cell.

19. The improvement of claim 18 wherein the host cell is a strain of *E. coli* and the host cell is transformed to express exogenous structural genes comprising the genes for shikimate kinase, EPSP synthase and chorismate synthase.

20. A method of enhancing the biosynthesis of compounds in a host cell via a known biosynthetic pathway including multiple enzyme-mediated reaction steps, said method comprising the steps of identifying the rate-limiting reaction steps in said pathway by identifying accumulated pathway intermediates and introducing recombinant DNA into said host cell to increase expression of the enzyme species mediating the rate-limiting reaction steps.

AMENDED CLAIMS

[received by the International Bureau
on 10 May 1994 (10.05.94); original claims 3 and 5 cancelled;
new claims 19 and 20 added; claims 4 and 6-20 amended and
renumbered as claims 3-18 other claims unchanged (4 pages)]

1. A method for enhancing the biosynthesis of aromatic compounds in a host cell via the common pathway of aromatic amino acid biosynthesis endogenous to said cell,
5 wherein a carbon source is converted to aromatic compounds by a multiple step reaction sequence characterized by enzyme species acting on intermediate substrates, said method comprising the steps of analyzing supernatants of a culture of said host cell to identify accumulated common
10 pathway intermediate substrates thereby identifying the rate-limiting reaction steps in the pathway, and

introducing into said host cell recombinant DNA comprising DNA encoding for the enzyme species acting on the identified accumulated intermediate substrates in the
15 rate-limiting reaction steps in the pathway to increase expression of the enzyme species in the host cell.

2. The method of claim 1 wherein the host cell is a strain of *Escherichia coli*.

3. The method of claim 2 wherein the host cell is
20 transformed with recombinant DNA comprising DNA encoding for the enzyme species 3-dehydroquinate synthase, shikimate kinase and 5-enolpyruvylshikimate-3-phosphate synthase (EPSP synthase) and chorismate synthase.

4. The method of claim 2 wherein the host cell is
25 transformed with recombinant DNA comprising DNA encoding for the enzymes transketolase (tkt), 3-deoxy-D-arabino-heptulosonate-7-phosphate synthase (DAHP synthase), 3-dehydroquinate synthase (DHQ synthase), shikimate kinase, 5-enolpyruvyl-shikimate-3-phosphate synthase and
30 chorismate synthase to increase expression of said enzymes in said host cell.

5. The method of claim 1 where the host cell is transformed with one or more recombinant plasmid vectors comprising the DNA encoding for the enzyme species.

-26-

intermediates in supernatants of a culture of said host cell, and

increasing the expression of those proteins catalyzing the identified rate-limiting steps in said
5 pathway.

16. The improvement of claim 15 wherein expression of the protein catalysts in the rate-limiting reactions is increased by transforming the host cell to express exogenous genes encoding for said protein catalyst
10 constitutively to increase concentration of said proteins in the host cell.

17. The improvement of claim 16 wherein the host cell is a strain of *E. coli* and the host cell is transformed to express exogenous structural genes comprising the genes for
15 3-dehydroquinate synthase, shikimate kinase, EPSP synthase and chorismate synthase.

18. A method of enhancing the biosynthesis of compounds in a host cell via a known biosynthetic pathway including multiple enzyme-mediated reaction steps, said
20 method comprising the steps of identifying the rate-limiting reaction steps in said pathway by identifying accumulated pathway intermediates and introducing recombinant DNA into said host cell to increase expression of the enzyme species mediating the rate-limiting reaction
25 steps.

19. An *E. coli* transformant characterized by the enhanced expression of exogenous structural genes for the enzyme species 3-dehydroquinate synthase (DHQ synthase), shikimate kinase, 5-enolpyruvoyl-shikimate-3-phosphate
30 synthase and chorismate synthase.

20. A method for producing an aromatic compound biocatalytically from a carbon source, said method comprising the step of culturing a cell transformant of claim 19 capable of utilizing said carbon source in its
35 common pathway in the presence of said carbon source under

-25-

6. The method of claim 4 where the host cell is transformed with one or more recombinant plasmid vectors comprising the DNA encoding for the enzyme species.

7. A cell transformant prepared in accordance with
5 the method of claim 2.

8. An *E. coli* transformant prepared in accordance with the method of claim 5.

9. An *E. coli* transformant characterized by the enhanced expression of exogenous structural genes for the
10 enzyme species transketolase (tkt), 3-deoxy-D-arabino-heptulosonate-7-phosphate synthase (DAHP synthase), 3-dehydroquinate synthase (DHQ synthase), shikimate kinase, 5-enolpyruvoyl-shikimate-3-phosphate synthase and chorismate synthase.

15 10. A method for producing an aromatic compound biocatalytically from a carbon source, said method comprising the step of culturing a cell transformant of claim 9 capable of utilizing said carbon source in its common pathway in the presence of said carbon source under
20 conditions conducive to the use of said carbon source in said pathway.

11. A plasmid construct comprising structural genes for shikimate kinase and EPSP synthase.

25 12. The plasmid construct of claim 11 further comprising the structural gene for chorismate synthase.

13. Plasmid pKAD50.

14. *E. coli* strain D2704/pKD136/pKAD50.

15. In a method for enhancing the biosynthetic production of compounds derived from the common pathway of
30 aromatic amino acid biosynthesis endogenous to a host cell by increasing the expression of proteins catalyzing reactions in said pathway, the improvement comprising the steps of

35 identifying the rate-limiting reaction steps in said pathway by identifying accumulated common pathway

-27-

conditions conducive to the use of said carbon source in said pathway.

-28-

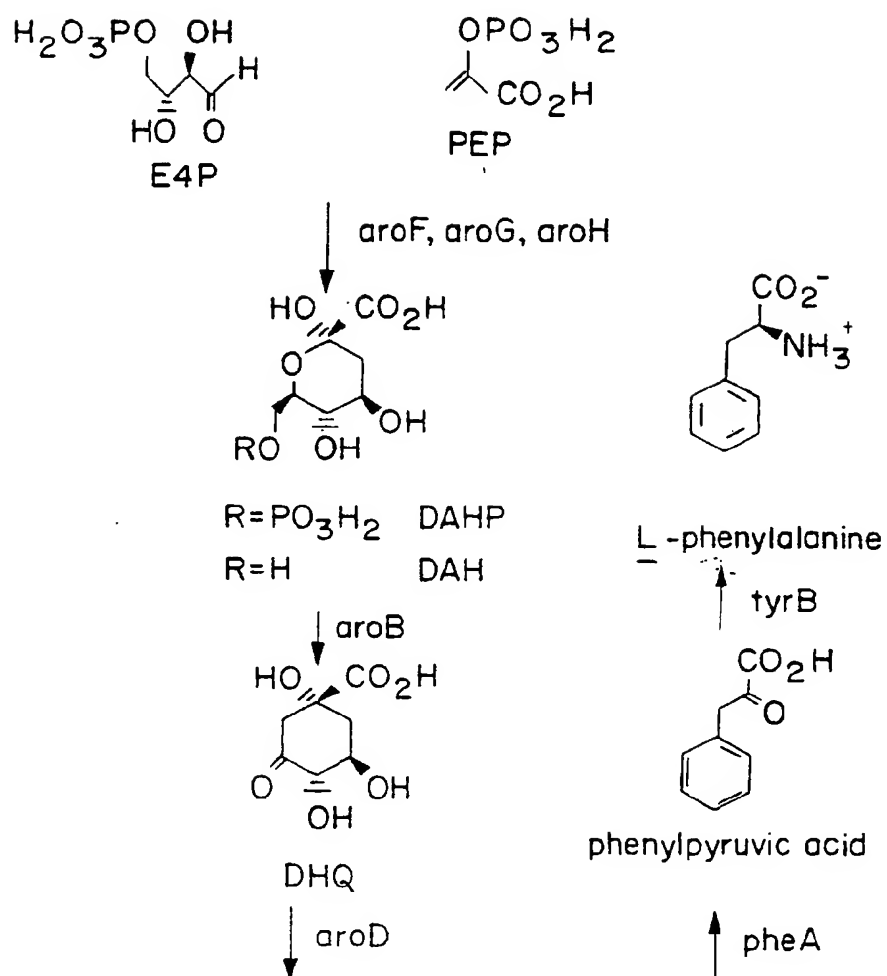
STATEMENT UNDER ARTICLE 19

In response to the International Search Report mailed 14 March 1994, applicants submit herewith replacement sheets for pages 21-25 of the International Application as originally filed.

Original claims 4 and 6-20 are renumbered as claims 3-18 respectively, and the claim dependencies have been amended accordingly. Claim 3 is amended to include the limitation of cancelled original claim 5. Claims 4 and 9 are amended to correct the spelling of 3-deoxy-D-arabino-heptulosonate-7-phosphate synthase, and the dependency of claim 4 is amended to depend from claim 2. The dependency of claim 10 is amended to depend from claim 9 instead of itself. Claim 17 is amended to include 3-dehydroquinate synthase in the list of genes used to transform the host cell. New claims 19 and 20 are presented to complete the protection to which applicants now believe they are entitled. The abstract is unchanged except for the page number.

1 / 16

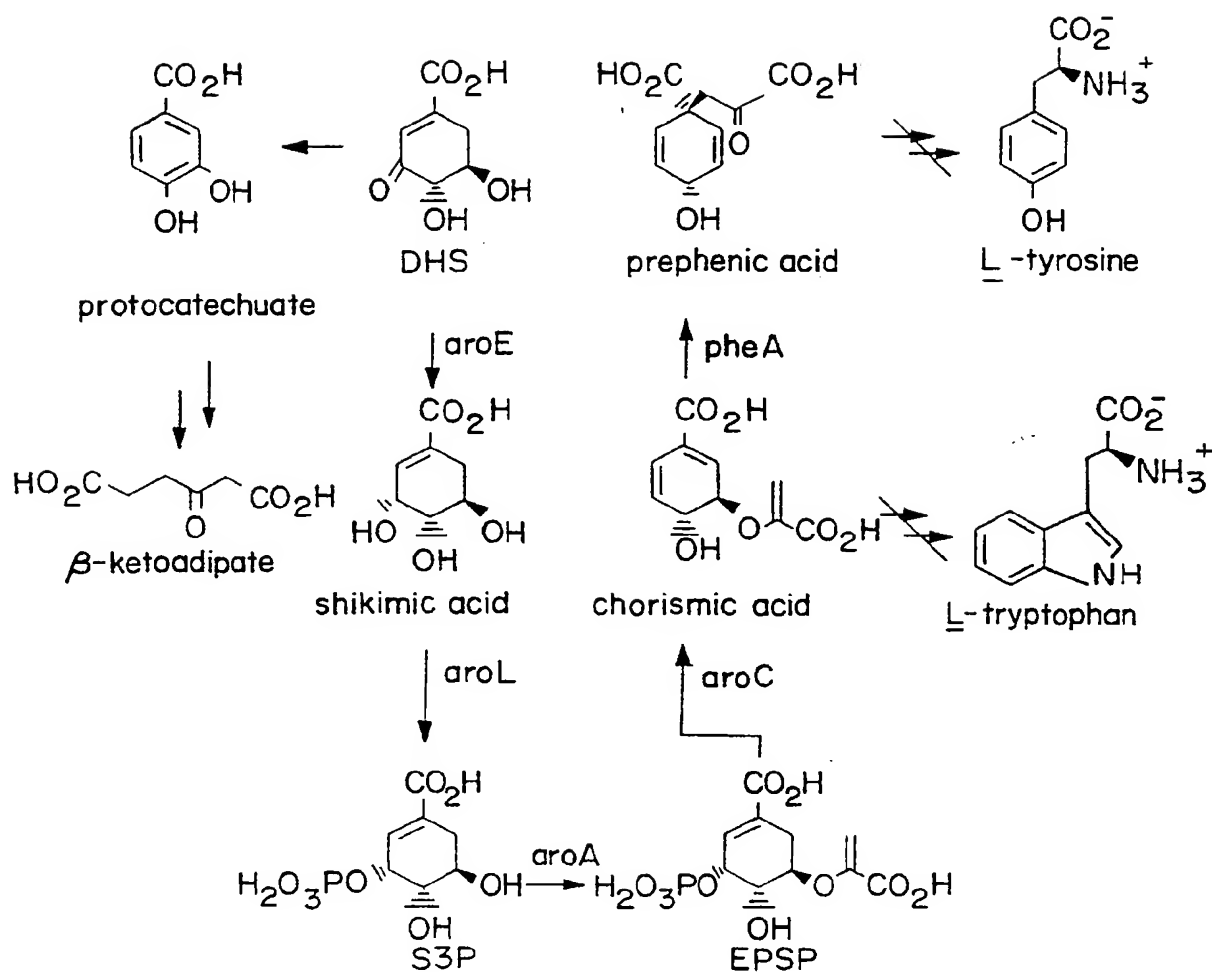
FIG. 1



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2 / 16

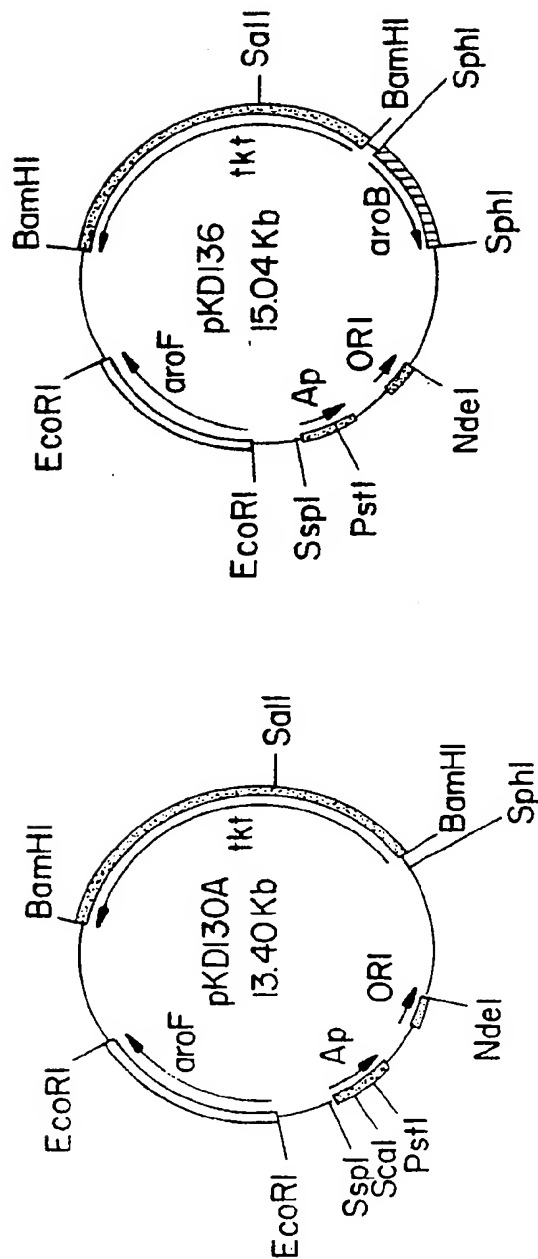
FIG.1 cont.



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3 / 16

FIG. 2



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4 / 16

FIG. 3A

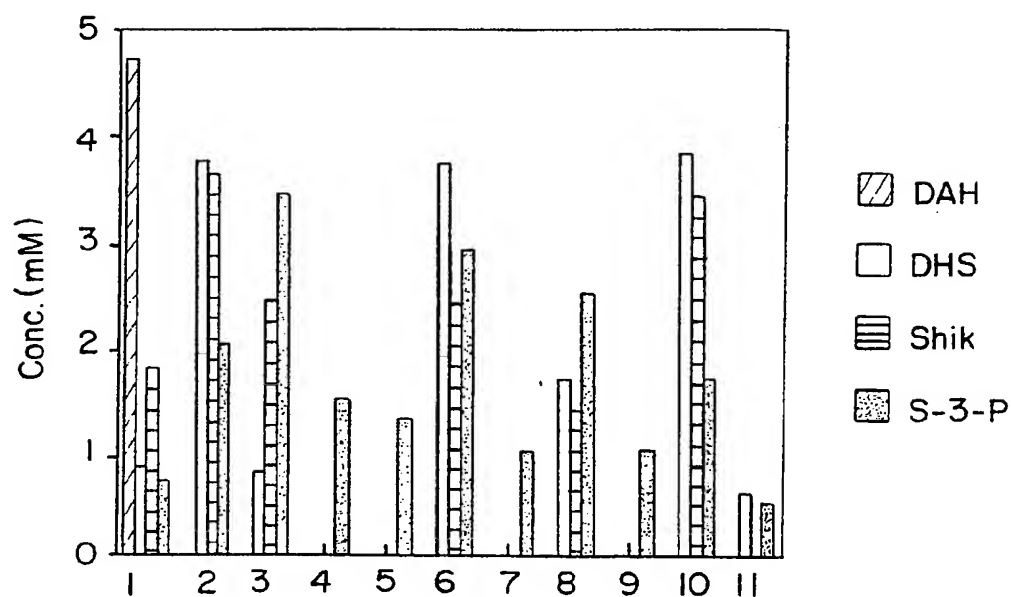
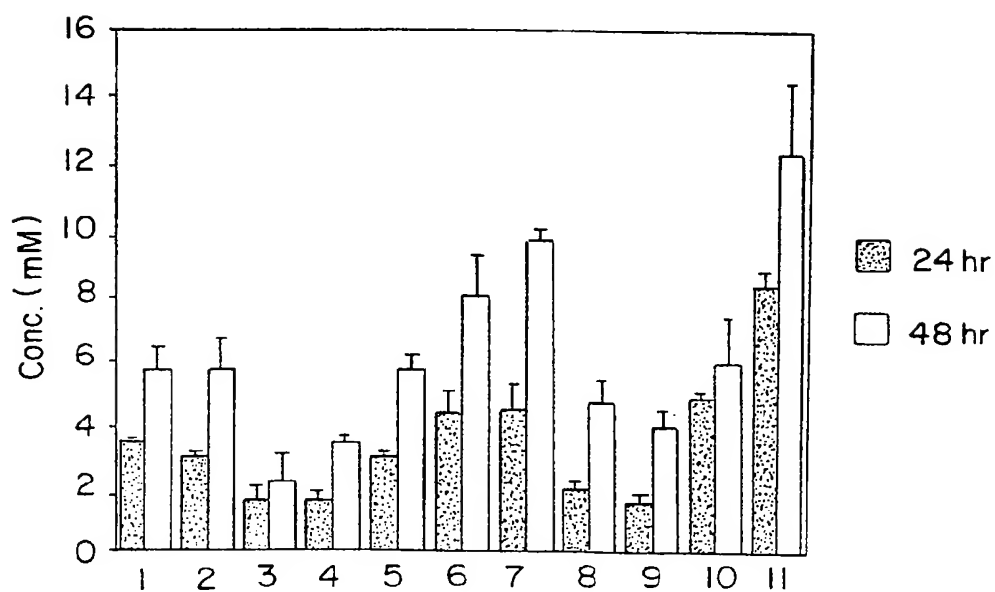
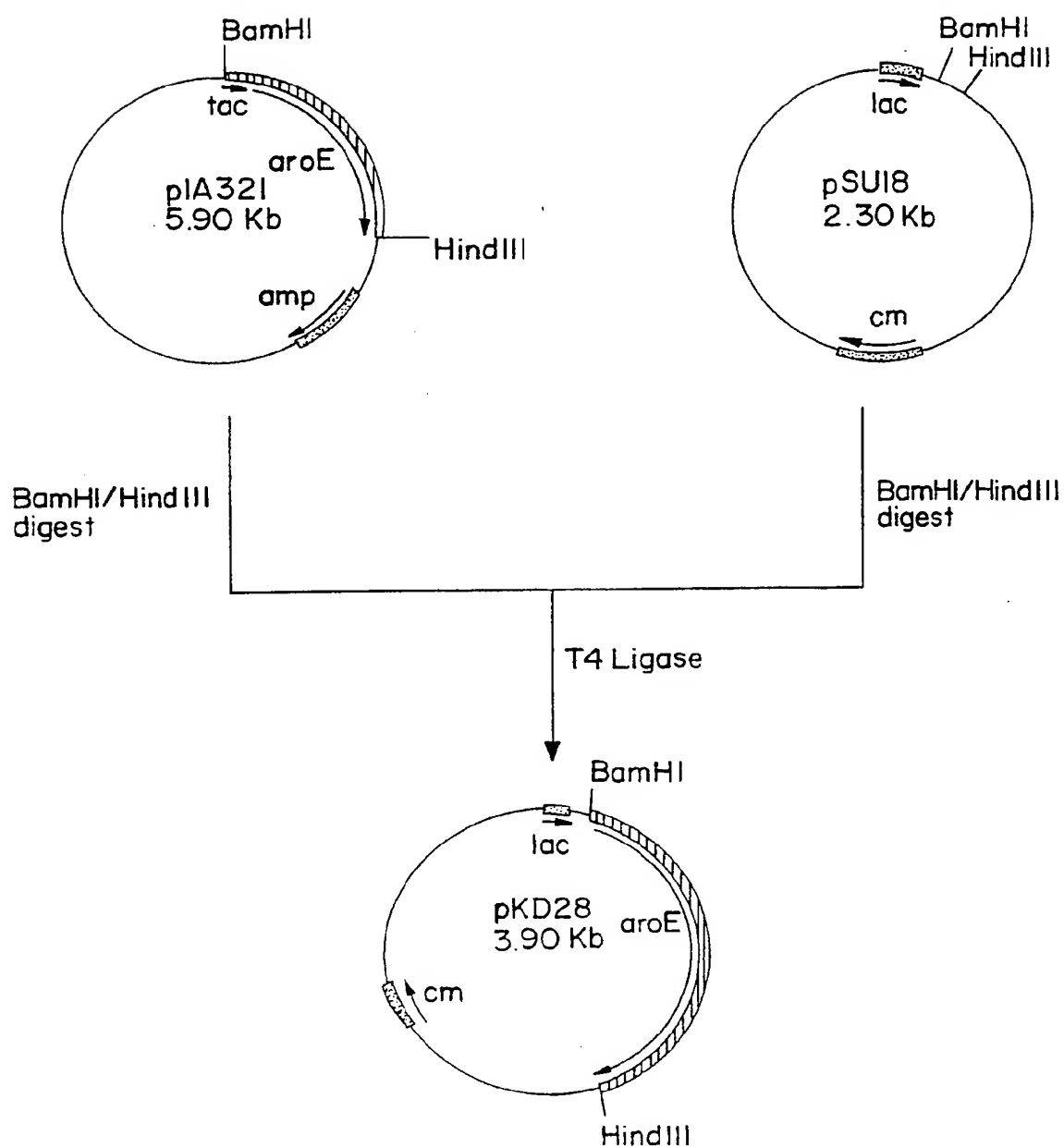


FIG. 3B



5 / 16

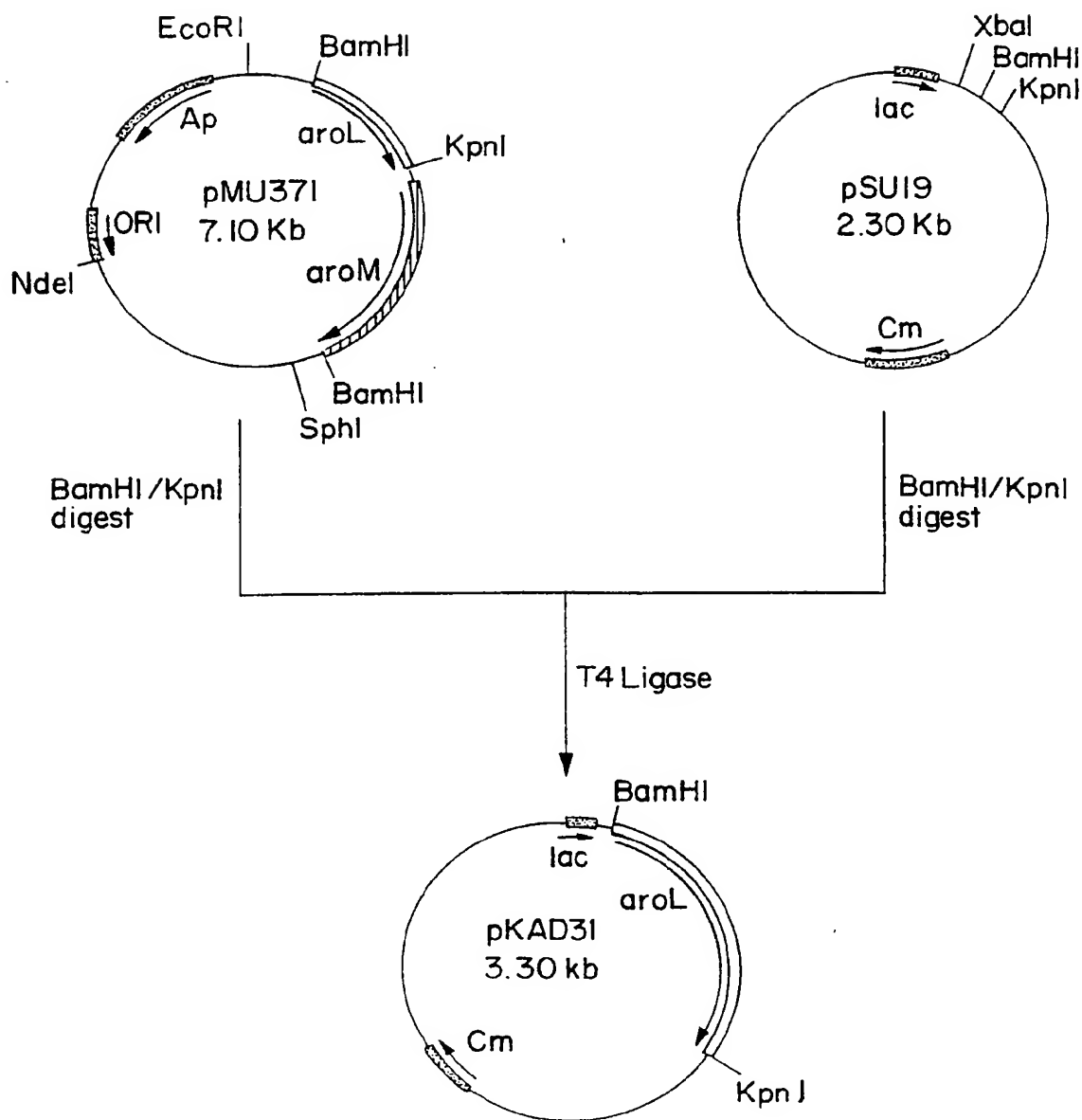
FIG. 4



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6 / 16

FIG. 5



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7 / 16

FIG. 6A

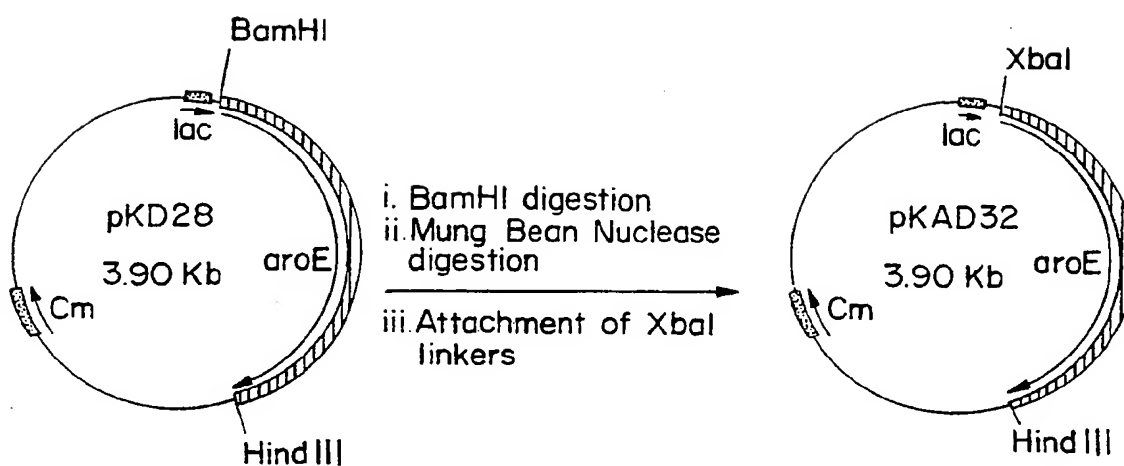
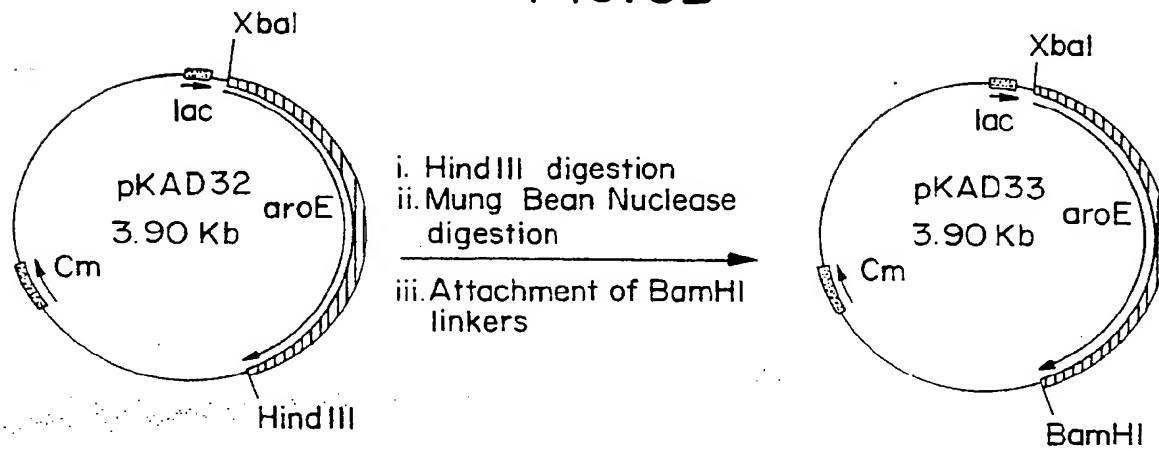
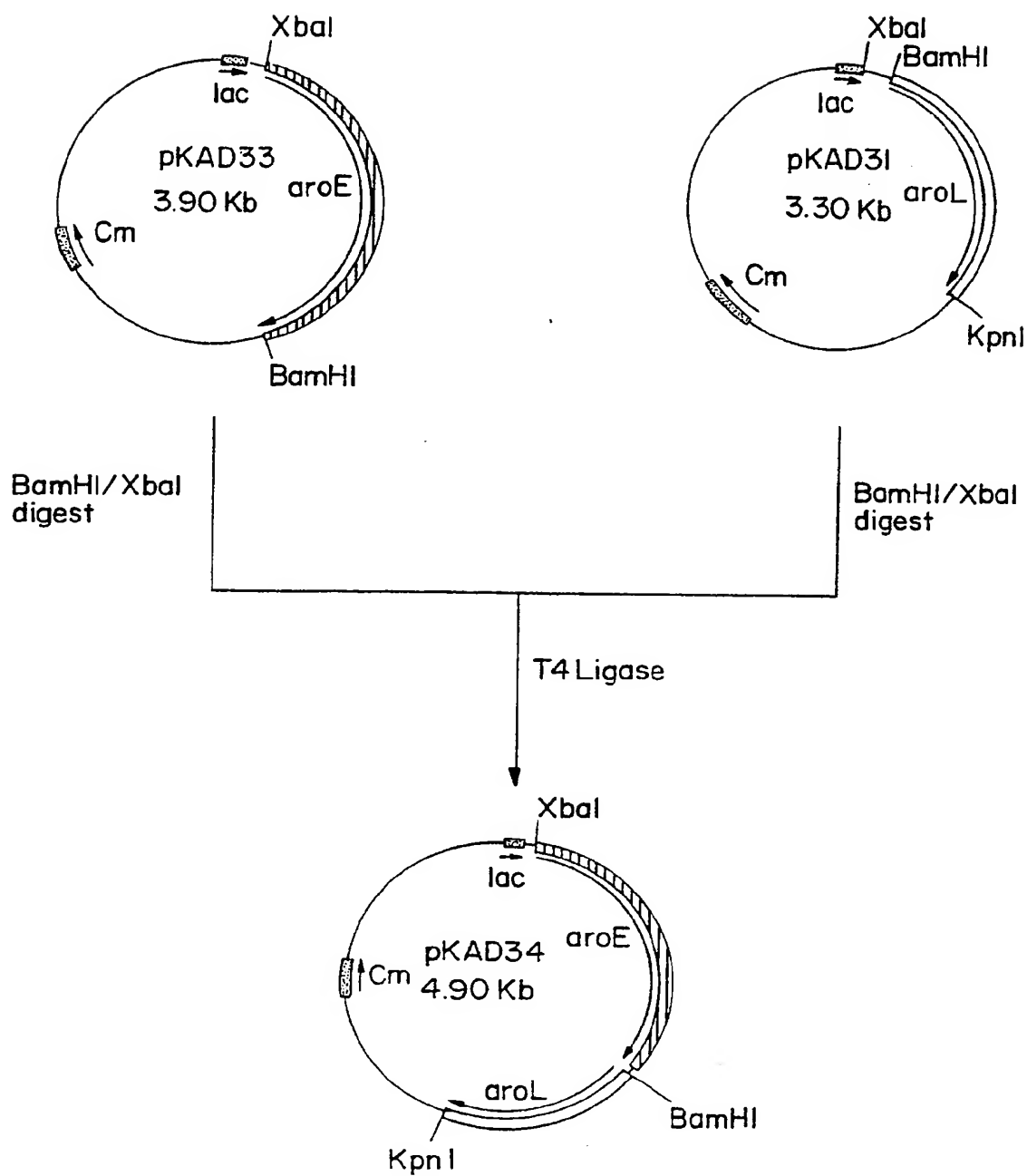


FIG. 6B



8 / 16

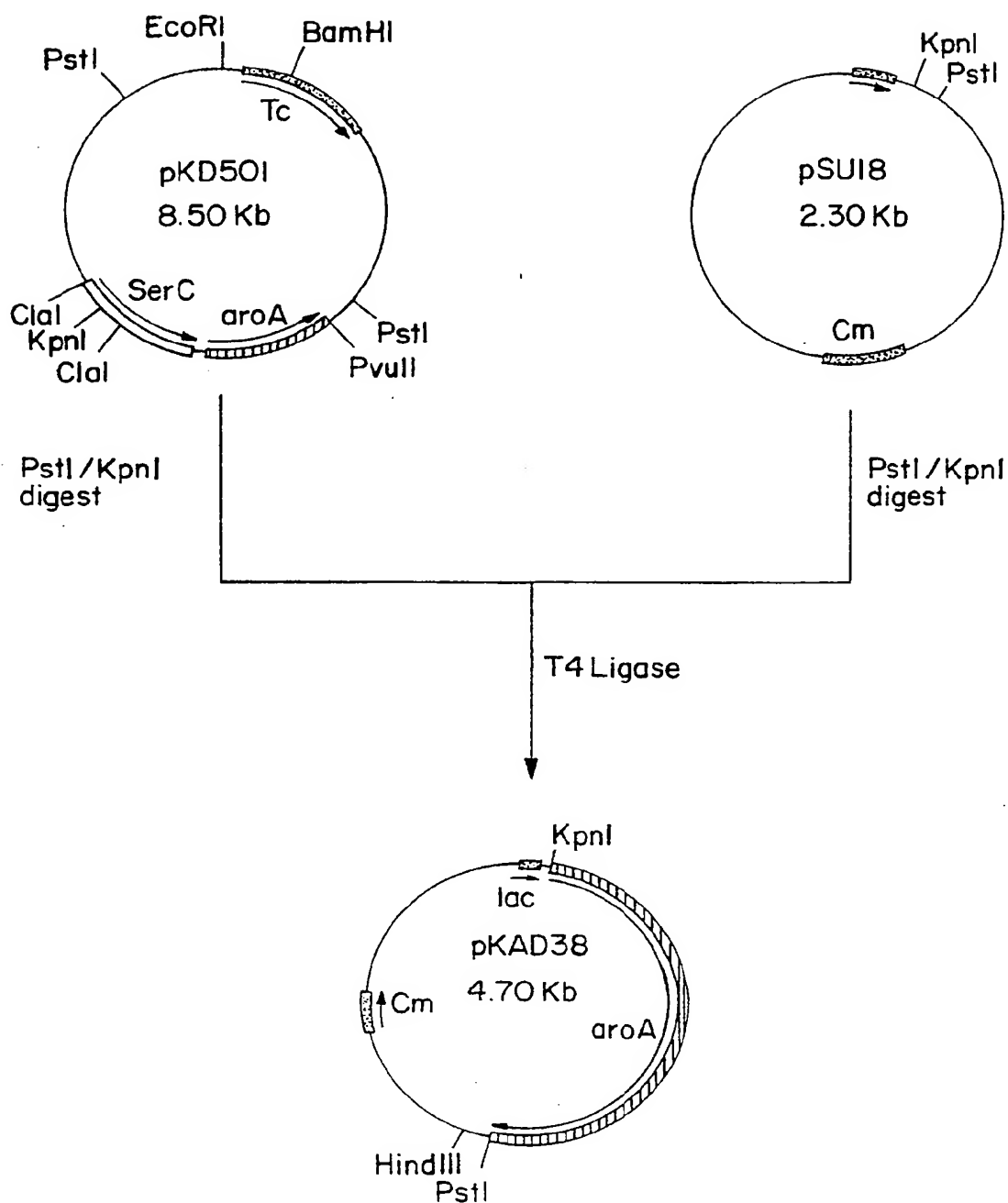
FIG. 6C



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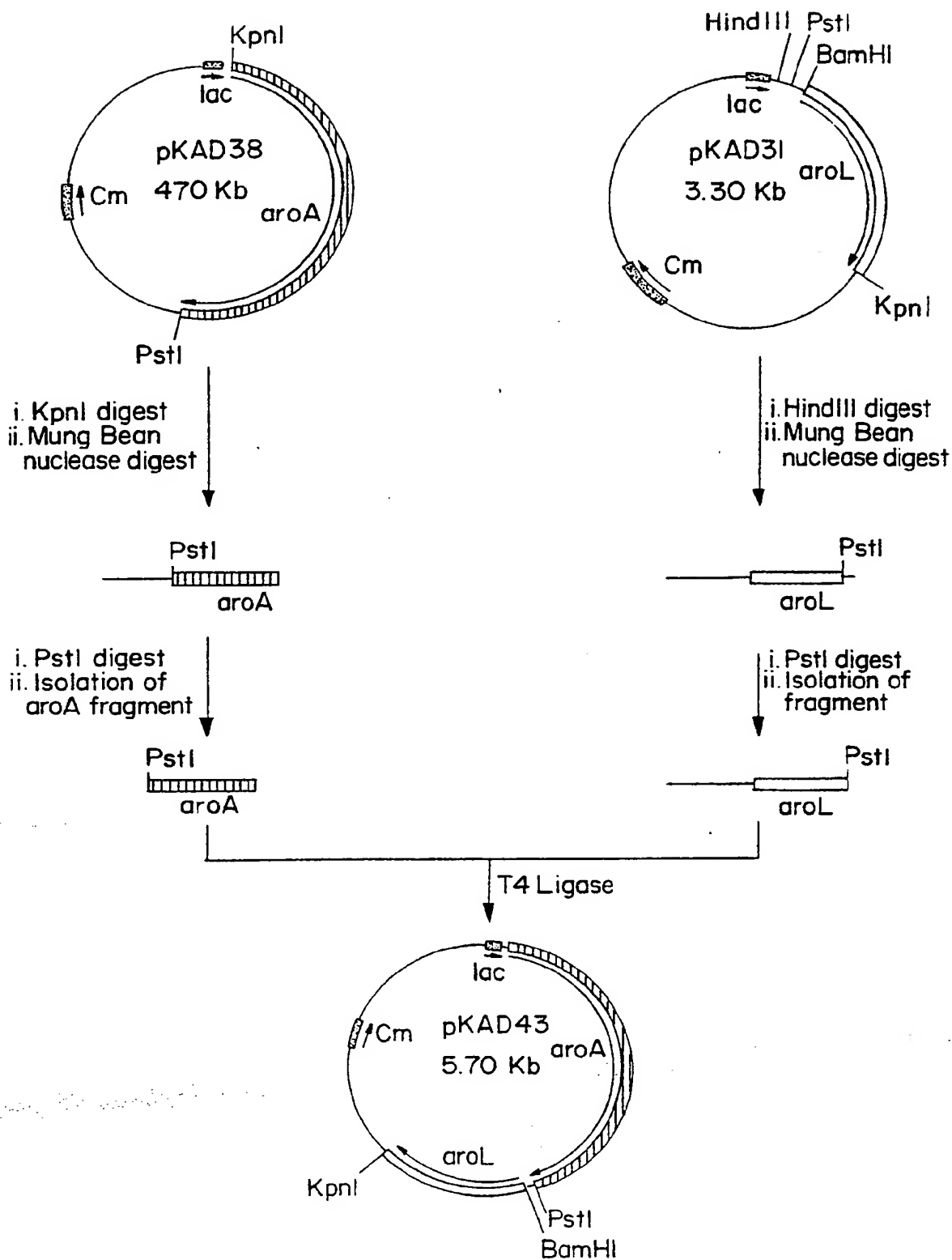
9 / 16

FIG. 7



10 / 16

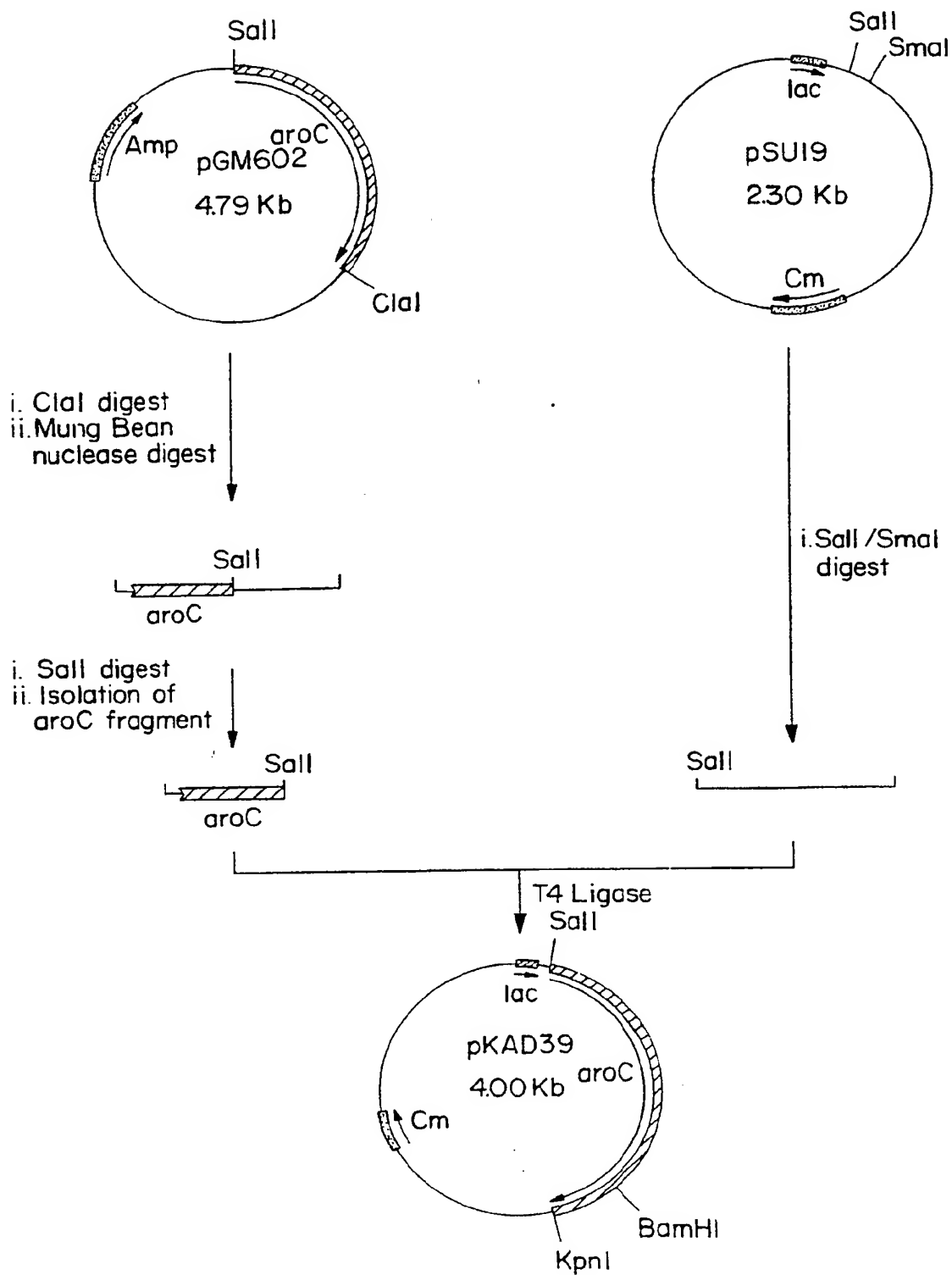
FIG. 8



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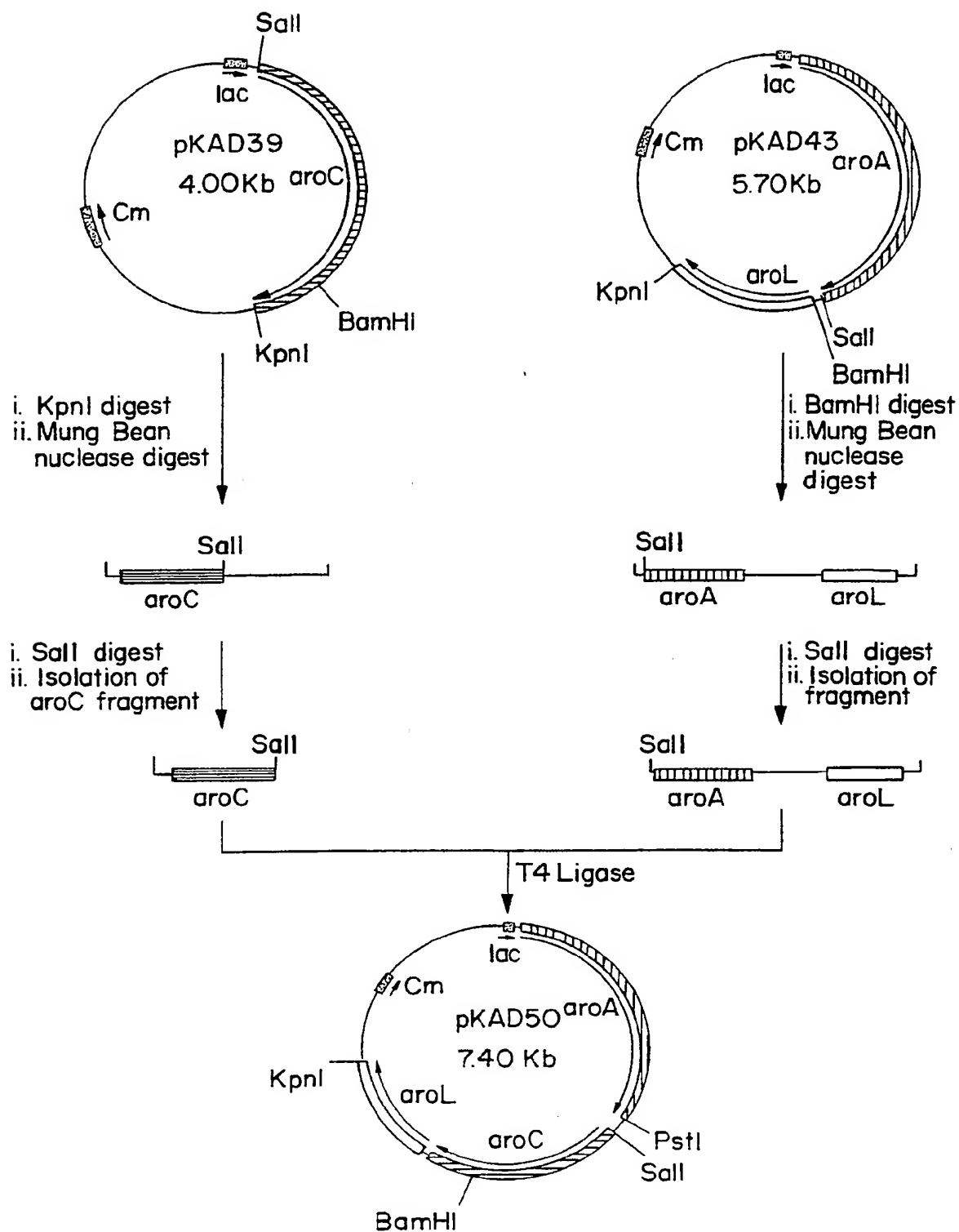
11 / 16

FIG. 9



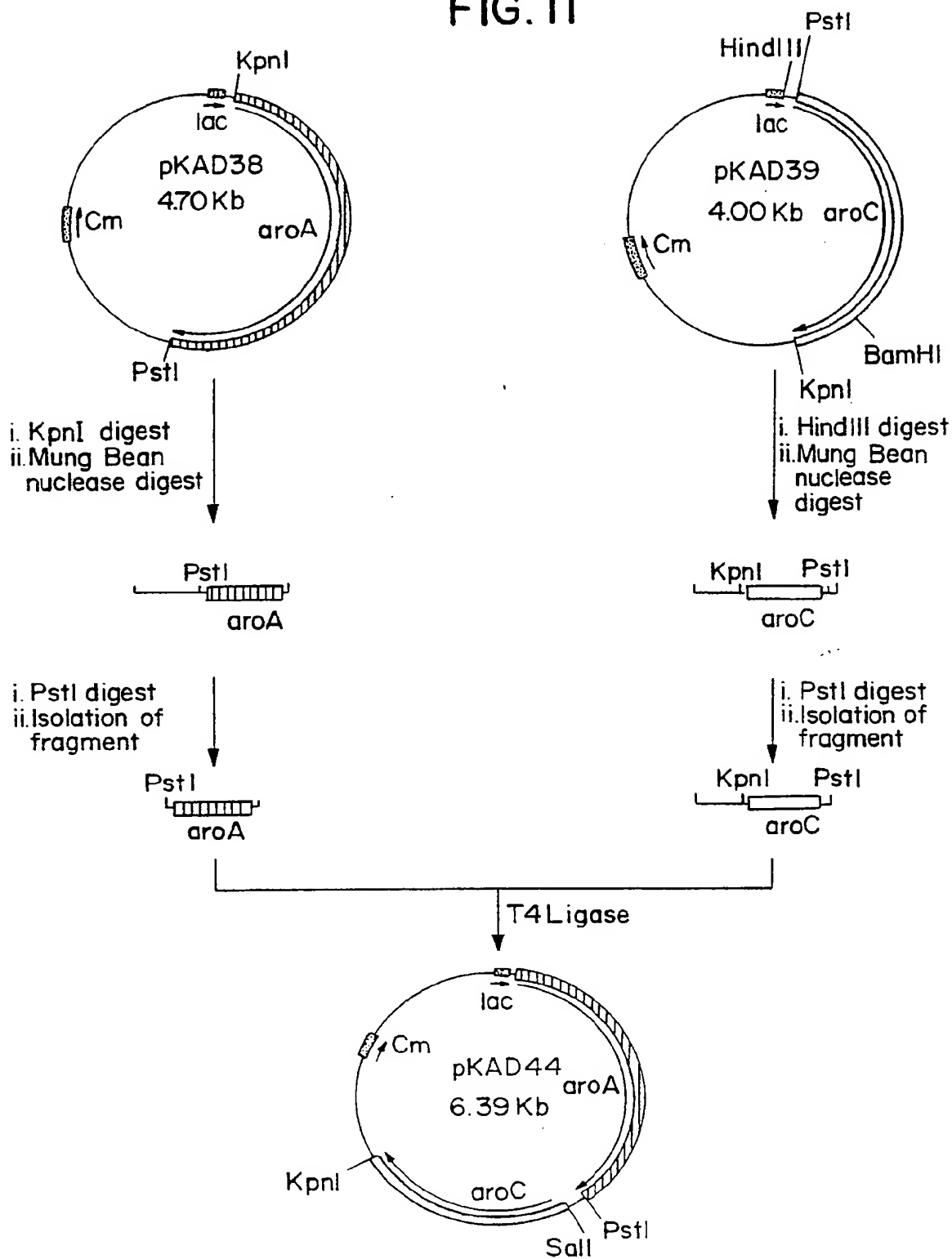
12 / 16

FIG. 10



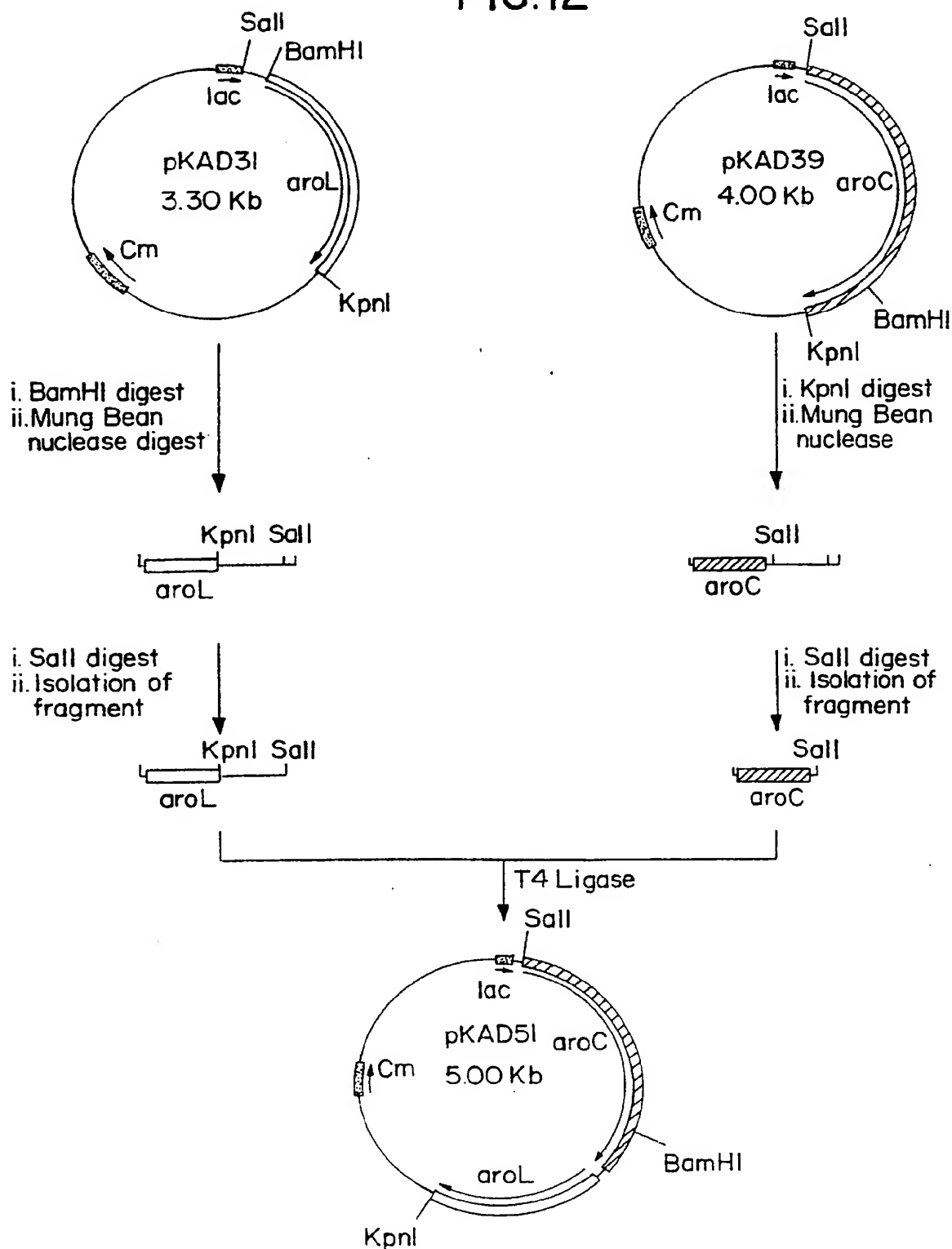
13 / 16

FIG. II



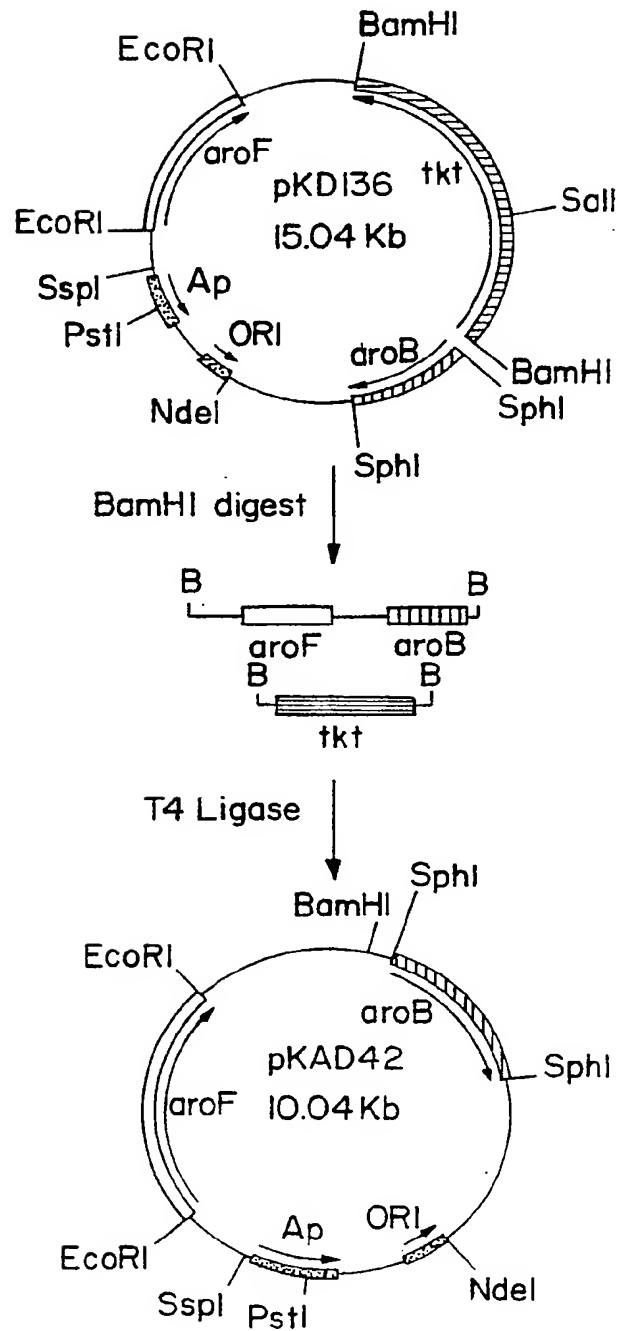
14 / 16

FIG. 12



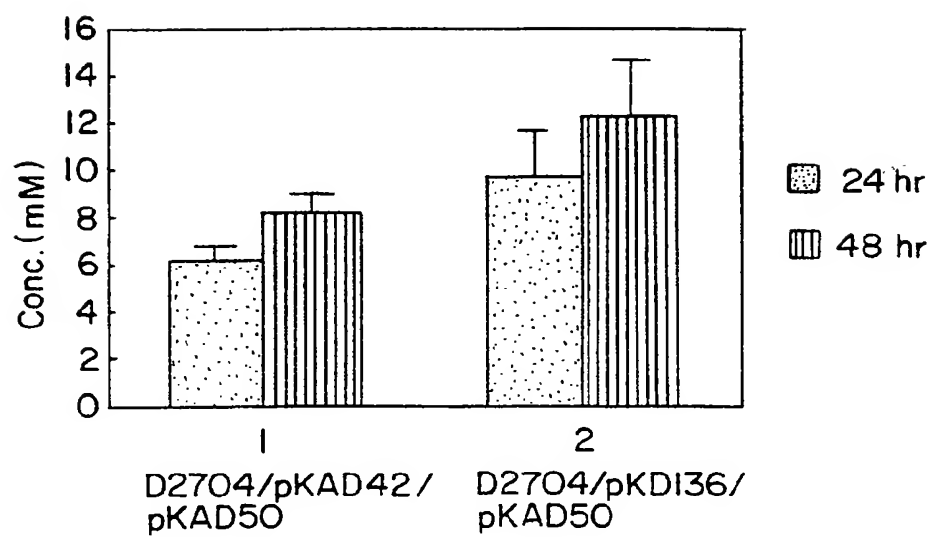
15 / 16

FIG. 13



16 / 16

FIG. 14



INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/12026

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : C12N 15/00, 15/70, 15/67

US CL : 435/69.1, 172.1, 172.3, 252.3, 252.33, 320.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/69.1, 172.1, 172.3, 252.3, 252.33, 320.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS; Dialog, Biosis, Medline, Biosis

Search Terms: aromatic amino acid, biosynthesis, common pathway

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 5,168,056 (FROST) 01 December 1992, See entire document, particularly Columns 5 and 7.	1-20
Y	US, A, 4,681,852 (TRIBE) 21 July 1987, See whole document, particularly Columns 1 and 2.	1-20



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be part of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search 17 February 1994	Date of mailing of the international search report 14 MAR 1994
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. NOT APPLICABLE	Authorized officer DAVID GUZO <i>Jill Warden for</i> Telephone No. (703) 308-0196